

CAIIB — COMPULSORY PAPER II: BANK FINANCIAL MANAGEMENT

Print this tracker. Tick each topic as you complete your notes, practise MCQs, and revise. Focus on HIGH PRIORITY topics (★) first — they account for the majority of exam marks.

LEGEND: ★ HIGH PRIORITY ◆ MEDIUM PRIORITY ■ STANDARD [] Not Started [/] In Progress [X] Done

#	Imp	Topic	Notes Done	MCQs Done	Revised
MODULE A: INTERNATIONAL BANKING					
1	★	Foreign Exchange — Definition, Markets, Exchange Rate Factors	[]	[]	[]
2	★	Exchange Rate Mechanism — Direct/Indirect Quote, Cross Rates	[]	[]	[]
3	★	Forex Arithmetic — Spot Rate, Forward Rate, Premium/Discount Calculations	[]	[]	[]
4	★	Forex Dealing Room Operations — SWIFT, CHIPS, CHAPS, RTGS	[]	[]	[]
5	★	Derivative Products in Forex — Forwards, Options, Futures, Swaps	[]	[]	[]
6	★	FEDAI & RBI Guidelines on Forex; FEMA 1999 Key Provisions	[]	[]	[]
7	★	LRS — Liberalised Remittance Scheme; Permissible Remittances; TCS	[]	[]	[]
8	◆	Correspondent Banking — Nostro, Vostro, Loro Accounts; SWIFT	[]	[]	[]
9	◆	NRI Accounts — NRE, NRO, FCNR(B); Facilities & Remittances	[]	[]	[]
10	★	Letters of Credit — Types, Parties, UCP 600 Key Articles	[]	[]	[]
11	★	LC Documents — Scrutiny, Discrepancies, ISBP 745, Incoterms	[]	[]	[]
12	★	Export Finance — Packing Credit (EPC/PCFC), Post-Shipment Finance	[]	[]	[]
13	★	ECGC — Role, Products for Exporters (Standard Policy, Buyer Exposure)	[]	[]	[]
14	★	ECGC Products for Banks — WTPCG, PSCG; Credit Limit; Claims	[]	[]	[]
15	★	Import Finance — Trade Credits, Supplier's & Buyer's Credit; IDPMS	[]	[]	[]
16	◆	EDPMS & IDPMS — Monitoring Systems for Export & Import Data	[]	[]	[]
17	◆	Factoring & Forfaiting — Domestic vs International; Difference	[]	[]	[]
18	★	External Commercial Borrowings (ECB) — Concepts, Reporting, Equity Conversion	[]	[]	[]
19	◆	Foreign Investments — FDI, FPI; NDI Rules; Prohibited Sectors	[]	[]	[]
20	◆	EXIM Bank — Role, Functions, Facilities; EXIM Bank vs ECGC	[]	[]	[]
21	◆	GIFT City / IFSC — Scope, IBU Guidelines, IFSCA Role	[]	[]	[]
22	◆	Technology in International Banking — Fintech, Blockchain in Trade	[]	[]	[]
MODULE B: RISK MANAGEMENT					
23	★	Risk — Definition; Linkages among Risk, Capital & Return	[]	[]	[]
24	★	Basic Risk Management Framework — Identify, Measure, Monitor, Mitigate	[]	[]	[]
25	★	Banking Risks — Credit, Market, Operational, Liquidity, Legal, Reputational	[]	[]	[]
26	★	Banking Book vs Trading Book; Off-Balance Sheet Exposures	[]	[]	[]
27	★	Basel I — Capital Accord; 1996 Amendment for Market Risk	[]	[]	[]
28	★	Basel II — Three Pillars; Capital Charge for Credit, Market, Operational Risk	[]	[]	[]
29	★	Basel III — Capital Conservation Buffer, CCyB, Leverage Ratio, SIFI	[]	[]	[]
30	★	Credit Risk Mitigation — Collateral, Guarantees, Netting	[]	[]	[]
31	★	Capital Charge for Market Risk — Standardised Approach	[]	[]	[]
32	★	Capital Charge for Operational Risk — BIA, SA, AMA	[]	[]	[]
33	★	Market Risk — Concept, Components (Interest Rate, Equity, Forex, Commodity)	[]	[]	[]

34	★	Value at Risk (VaR) — Historical, Parametric, Monte Carlo Methods	[]	[]	[]
35	◆	Stress Testing & Back Testing of VaR Models	[]	[]	[]
36	★	Credit Risk — PD, LGD, EAD; RAROC; Credit Risk Mitigation	[]	[]	[]
37	★	Securitisation — Concept; Credit Derivatives — CDS, TRS	[]	[]	[]
38	★	Operational Risk — Definition, Event Types, RCSA, KRI, Loss Data	[]	[]	[]
39	★	Liquidity Risk — Types, Principles, LCR, NSFR, CFP	[]	[]	[]
40	★	Basel III Liquidity Standards — LCR Definition; NSFR Definition	[]	[]	[]
MODULE C: TREASURY MANAGEMENT					
41	★	Integrated Treasury — Concept, Functions, Evolving Role as Profit Centre	[]	[]	[]
42	★	Treasury Products — Forex, Money Market, Securities Market	[]	[]	[]
43	★	Money Market Products — Call Money, T-Bills, CD, CP, Repo, TREPS	[]	[]	[]
44	★	Government Securities — Auction Mechanism, Primary Dealers, YTM	[]	[]	[]
45	★	Bond Valuation — Price-Yield Relationship, Duration, Convexity, PVBP	[]	[]	[]
46	★	Fixed Income Concepts — Clean Price, Dirty Price, Accrued Interest	[]	[]	[]
47	◆	GDR, ADR, IDR, ECB, Rupee Denominated Bonds — International Equity/Debt	[]	[]	[]
48	★	CRR & SLR — Reserve Assets; Governing Act; LAF Mechanism	[]	[]	[]
49	★	Derivatives in Treasury — Forwards, Options, Futures, Swaps; IRS, CCS	[]	[]	[]
50	★	VaR & Duration in Treasury Risk Management	[]	[]	[]
51	◆	Treasury ALM — Liquidity Risk, Interest Rate Risk; Transfer Pricing	[]	[]	[]
MODULE D: BALANCE SHEET MANAGEMENT					
52	★	Asset Liability Management (ALM) — Concept, Significance, Purpose	[]	[]	[]
53	★	ALCO — Role, Composition; ALM as Coordinated Balance Sheet Management	[]	[]	[]
54	★	Capital Adequacy — Basel Norms, CRAR; Pillar 1, 2, 3	[]	[]	[]
55	★	Asset Classification & Provisioning Norms — NPA Categories	[]	[]	[]
56	★	Liquidity Management — Dimensions; Liquidity Gap; LCR & NSFR	[]	[]	[]
57	★	Interest Rate Risk Management — Sources, GAP Analysis, Duration GAP	[]	[]	[]
58	★	Interest Rate Risk Measurement — Repricing Model, Duration Model	[]	[]	[]
59	◆	Strategies for Controlling Interest Rate Risk; Derivatives in ALM	[]	[]	[]
60	★	RAROC — Risk-Adjusted Return on Capital; Profit Planning	[]	[]	[]
61	◆	Risk Aggregation & Capital Allocation; Economic Capital	[]	[]	[]
62	◆	RBI Draft Guidelines — Interest Rate Risk in Banking Book (IRRBB)	[]	[]	[]

Total Topics: 62 | ★ High Priority: 48 | ◆ Medium Priority: 14 | ■ Standard: 0 | Passing mark: 50/100 | iibfprep.in — 2026